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2681 \$ PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/406,454
		Filing Date	September 27, 1999
		First Named Inventor	Gawins Mack, II
		Group Art Unit	2681
		Examiner Name	Nay A. Maung
Total Number of Pages in This Submission	15	Attorney Docket Number	99016401X

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
1- Postcard attached		
2- Form PTO-2038		

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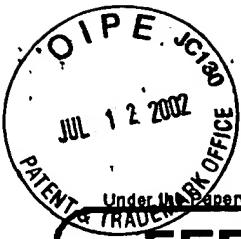
Firm or Individual name	John C. Smith, Esq.
Signature	
Date	July 9, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	John C. Smith, Esq.
Signature	
Date	July 9, 2002

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PTO/SB/17 (11-01) Approved for use through 10/31/2002. OMB 0651-0032

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 200.00)

## Complete If Known

Application Number	09/406,454
Filing Date	September 27, 1999
First Named Inventor	Gawins Mack, II
Examiner Name	Nay A. Maung
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Deposit Account Name

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	205 65	Surcharge - late filing fee or oath	
127 50	227 25	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	139 130	Non-English specification	
147 2,520	147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	216 55	216 55	Extension for reply within first month	
116 400	216 200	216 200	Extension for reply within second month	200.00
117 920	217 460	217 460	Extension for reply within third month	
118 1,440	218 720	218 720	Extension for reply within fourth month	
128 1,960	228 980	228 980	Extension for reply within fifth month	
119 320	219 160	219 160	Notice of Appeal	
120 320	220 160	220 160	Filing a brief in support of an appeal	
121 280	221 140	221 140	Request for oral hearing	
138 1,510	138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	240 55	Petition to revive - unavoidable	
141 1,280	241 640	241 640	Petition to revive - unintentional	
142 1,280	242 640	242 640	Utility issue fee (or reissue)	
143 460	243 230	243 230	Design issue fee	
144 620	244 310	244 310	Plant issue fee	
122 130	122 130	122 130	Petitions to the Commissioner	
123 50	123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	279 370	Request for Continued Examination (RCE)	
169 900	169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 200.00)**

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$ 0)**

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	John C. Smith, Esq.	Registration No. (Attorney/Agent)	33,284	Telephone (561) 394-4666
Signature		Date	July 9, 2002	

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